

# Source Coding

Data and Information Management: ELEN 3015

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# Information Theory

“Cryptography, Information Theory and Error-Correction,” Bruen  
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Chapter 11

# Overview

# 1. Introduction

Consider a source with:

- Alphabet  $\mathcal{A} = \{x_1, x_2, \dots, x_m\}$
- Each symbol  $x_i$  has probability  $p_i$ ,  $0 \leq p_i \leq 1$  of occurring in the message.

$$p_1 + p_2 + \dots + p_m = 1, 0 \leq p_i \leq 1.$$

Example of a source - English language

- Alphabet size  $m = 26$  (or  $m = 27$ )
- Probabilities of symbols are well known and tabulated.
- Eg. letter  $a$  has probability  $p_1 = 0.064$

# 1. Introduction

Memoryless source:

- Each symbol  $x_i$  is an independent and identically distributed random variable (iid).
- Real life sources are seldom memoryless and are modeled as ergodic processes.

# 1. Source extension

Given a source  $\Gamma$  with source words chosen from  $\mathcal{A}$  we can construct a new source, called the  $s^{\text{th}}$  order extension of  $\Gamma$ , denoted by  $\Gamma^s$ .

Alphabet of  $\Gamma^s \rightarrow$  all possible strings of length  $s$  chosen from the alphabet  $\mathcal{A}$ .

If  $Z$  is a word in  $\Gamma^s$  then  $Z = y_1, y_2, \dots, y_s$  with  $y_1, y_2, \dots, y_s$  in  $\mathcal{A}$ .

Probability of  $Z = Pr(y_1) \cdots Pr(y_s)$ .

# 1. Source extension

Example: Let  $\mathcal{A} = \{x_1, x_2\}$  with  $p_1 = Pr(x_1) = 0.4$  and  $p_2 = Pr(x_2) = 0.6$ .

Second extension  $\mathcal{A}^2 \Leftrightarrow \mathcal{A}^2 = \{x_1x_1, x_1x_2, x_2x_1, x_2x_2\}$

Probabilities 0.16, 0.24, 0.24 and 0.36.

Sometimes more efficient to encode blocks of consecutive source words rather than individual source words  $\Leftrightarrow$  block coding.

# 1. Source extension

By independence:

## Entropy of an Extension

If  $\Gamma$  has alphabet  $\mathcal{A}$ , and  $\Gamma^s$  is the  $s$ 'th order extension of  $\Gamma$ , then

$$H(\Gamma^s) = sH(\Gamma)$$



### 3. Encodings

Encoding  $f$ : maps source words from  $\mathcal{A}$  to a string with symbols in alphabet  $Y$ .

Example of an encoding:

- $f \rightarrow$  ASCII
- $Y$  might be the binary alphabet ( $Y = \{0, 1\}$ )
- $\mathcal{A}$  might be the upper-case English alphabet

### 3. Encodings

Condition for encoding:  $x_i, x_j$  with  $i \neq j, f(x_i) \neq f(x_j)$ .

Message  $\rightarrow$  any string of source words from  $\mathcal{A} = \{x_1, x_2, \dots, x_m\}$ .

Consider  $M = x_3x_1x_3$ .

Encoding  $\rightarrow f(M) = f(x_3)f(x_1)f(x_3)$

Code words  $\rightarrow$  strings over  $Y$  of the form  $f(x_i), 1 \leq i \leq m$

Code  $C \rightarrow$  set of code words  $f(x_i)$

### 3. Encodings

Example:

$\mathcal{A}$  consist of the three source words  $u$ ,  $v$  and  $w$

$Pr(u) = 0.3$ ,  $Pr(v) = 0.5$  and  $Pr(w) = 0.2$

$H(\mathcal{A}) =$

### 3. Encodings

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$$\begin{aligned} H(\mathcal{A}) &= (0.3) \log_2(1/0.3) + (0.5) \log_2(1/0.5) + (0.2) \log_2(1/0.2) \\ &= 0.5211 + 0.5 + 0.4644 \\ &= 1.4855 \end{aligned}$$

### 3. Encodings

Encoding  $f$  from  $\mathcal{A}$  to  $Y$  with  $Y = \{0, 1\}$  is given as follows:

$$f(u) = 01, f(v) = 1 \text{ and } f(w) = 101$$

Then if  $m = vu$ ,  $f(m) = f(v)f(u) = 101$ .

Average length of an encoded source word:

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Average length of an encoded source word:

$$(0.3)(2) + (0.5)(1) + (0.2)(3) = 1.7.$$

### 3. Uniquely decipherable

Encoding  $f \Leftrightarrow$  uniquely decipherable (u.d.) if there do not exist two different messages  $M_1$  and  $M_2$  with  $f(M_1) = f(M_2)$ .

Previous example  $f$  is not u.d.  $\rightarrow f(vu) = f(w) = 101$ .

Encoding  $f$  is an instantaneous code (or prefix code) if there do not exist two code words  $x_i$  and  $x_j$  such that  $f(x_i)$  is a prefix of  $f(x_j)$ .

Thus, a prefix code can be uniquely decoded from left to right without “look ahead”.

### 3. Encodings

Lemma: If  $f$  is instantaneous, then  $f$  is u.d. (Leave the proof)

Lemma: There exist u.d. codes which are not instantaneous.  
(Leave the proof)

Example:  $\mathcal{A} = \{a, b\}$ ,  $f(a) = 1$ ,  $f(b) = 10$

$f(a)$  is a prefix of  $f(b)$ , but code is still u.d.

Prefix code can be decoded “on line” moving from left to right.



### 3. Kraft's inequality

Necessary and sufficient condition for the existence of an instantaneous code:

$$\sum_{i=1}^n 2^{-l_i} \leq 1$$

where  $l_i$  is the word-lengths.

Proof not for examination

### 3. Maximum information

Theorem:  $H(x) \leq \log_2 n$  with equality if and only if  $p_1 = p_2 = \dots p_n = 1/n$  so that  $X$  is equiprobable.

In order to maximise the entropy, make the probabilities equal.

(Proof not for examination)

### 3. McMillan's inequality

Theorem: A necessary and sufficient condition for the existence of a u.d. code  $C$  with codewords of length  $l_1, l_2, \dots, l_n$  is

$$\sum_{i=1}^n 2^{-l_i} \leq 1$$

(Proof not for examination)

### 3. Noiseless coding Theorem

Theorem: If a memoryless source has entropy  $H$  then the average length of a binary, uniquely decipherable, encoding of that source is at least  $H$ .

Moreover, there exist a code having average word-length less than  $1 + H$ , on the assumption that the emission probability  $p_i$  of each source word is positive.

(Proof not for examination)

# Block Coding, The Oracle, Yes-No Questions

Go through on own time

# Optimal Codes

Not for Examination

# Huffman Coding

Huffman Code  $C$ :

- Prefix code
- $L(C) \leq L(C_1)$  ( $C_1$  any code that is u.d.)

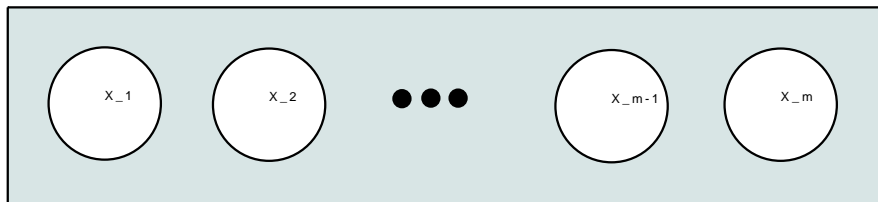
# Huffman Coding

Has a source  $S = S_0$  with source words  $\mathcal{A} = \mathcal{A}_0 = \{x_1, x_2, \dots, x_m\}$

Have that  $p_1 \geq p_2 \geq p_3 \dots \geq p_m$



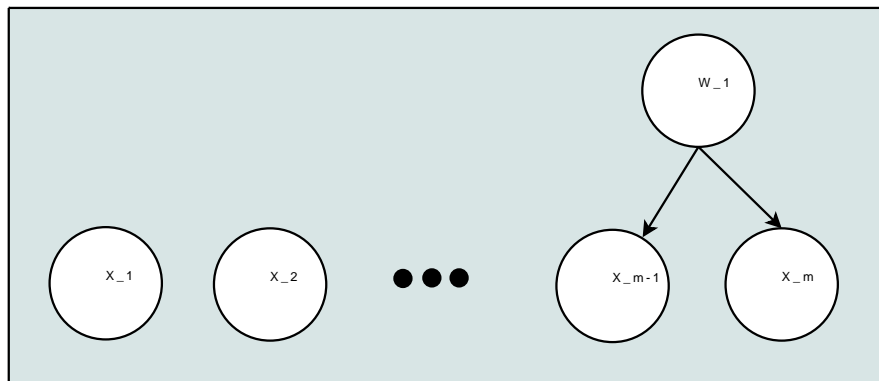
# Huffman Coding



## Huffman Coding

Step 1: Merge two source words with the smallest probability

Thus, merge  $x_{m-1}$  and  $x_m$  to form new “symbol”  $W_1$  with probability  $y = p_m + p_{m-1}$ .



# Huffman Coding

## Algorithm

- 1 “Combine” two source words with smallest probability into a new source word
- 2 Construct the resulting graph
- 3 If number of source words  $> 1$ , Go to step 1.